

## Semi-Annual Financial Planning & Accounting Seminar

**Date & Time:** Thursday, November 18, 2004 3:00 PM to 7:00 PM  
**Location:** The Tower Club, 20 North Wacker Drive, Suite 3900, Chicago  
**Cost:** Complimentary

<b>Time:</b>	<b>Topic:</b>	<b>Speaker:</b>
3:00	<b>Business Formation</b> Business Plans Entity Selection	<b>Mercy Tang-Tellez</b> , an associate at SNSFE, concentrates in Corporate Law and Federal and State Taxation. She is an active member of the Illinois State Bar Association, the American Bar Association, and the Asian American Bar Association.
4:00	<b>Investment Advice</b> Receiving Compensation for Investment Advice Regulatory Requirements Protecting Against Liability	<b>Stephen S. Berkeley</b> , a partner at SNSFE, concentrates in Securities Arbitration and Regulatory Matters. Stephen is an active member of the Securities Law and Financial & Investor Services Committees of the Chicago Bar Association and the Business Law Section of the American Bar Association.
5:00	<b>Offering Clients Investment Advice</b> The Investment Process Recovering Investment Losses	<b>James J. Eccleston</b> , a shareholder at SNSFE, heads the Securities Law practice group. He is a member of the Board of the Chicago Chapter of the Financial Planning Association, as well as a member and past chairperson of the Securities Law Committee of the Chicago Bar Association. He is also a member and past chairperson of the Financial and Investment Services Committee.
6:00	<b>Networking</b> Refreshments Provided	

To register, please complete this form and  
fax or mail it to Shannon Sankstone, Director of Marketing.

**Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **ZIP:** \_\_\_\_\_

**Business Phone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_ **E-Mail:** \_\_\_\_\_

- Please register me for the November 18 Semi-Annual Financial Planning & Accounting Seminar.  
 Please send me information about future SNSFE seminars.