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Date: April 25, 2006

Place: ONLINE

Time: 11:30 A.M. CENTRAL

Presented By:

JEFFREY M. GERSHON, J.D.

RONALD M. AMATO, J.D.

APRIL J. LINDAUER, J.D.

Jeffrey Gershon concentrates his practice on securities regulatory defense, investment loss arbitration, and compliance matters for broker-dealers and investment advisers before the SEC, the NASD, and state securities regulators throughout the U.S. He represents investors in securities arbitration and litigation cases who are seeking to recover their investment losses from unsuitable investments, churning, portfolio mismanagement, breach of fiduciary duty, and negligence.

Ronald Amato represents individual investors and trust beneficiaries in matters involving unsuitable investment recommendations, portfolio mismanagement, breach of fiduciary duty, and professional negligence. He frequently represents financial advisers in a variety of employment-related disputes and regulatory matters.

April Lindauer is experienced in matters involving investment suitability, fraud, breach of fiduciary duty, churning, mutual fund switching, net capital violations, unauthorized transactions, and representing investment professionals in disciplinary and regulatory proceedings.

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