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Date: November 28, 2006

Time: 11:30 A.M. CENTRAL

Presented By:

Ronald M. Amato, J.D.

Ronald M. Amato is an associate attorney at Shaheen, Novoselsky, Staat, Filipowski & Eccleston, P.C.

He represents public investors, as well as registered representatives and securities broker-dealers, in securities arbitration and litigation. He frequently represents investors in matters involving unsuitable investments, violations of state and federal securities laws, breach of fiduciary duty, and negligence. Additionally, Ron has represented investment professionals in employment-related disputes and regulatory matters.

Ron assisted in obtaining a groundbreaking arbitration award against a national broker-dealer for its failure to properly advise a client regarding hedging a concentrated stock position obtained through the exercise of employee stock options. He also assisted with obtaining one of the first arbitration awards against a major online brokerage firm for its mishandling of a customer order and other sales practice violations.

Prior to attending Chicago Kent College of Law, Ron was employed as a registered representative and assistant branch office manager at a national broker-dealer. He gained valuable "hands-on" experience working with a variety of investment products, including equities and options. He has held a license for securities representative (Series 7, Series 63) with the NASD.

Ron is admitted to practice law in the State of Illinois and before the United States District Court for the Northern District of Illinois. He is a member of the Chicago Bar Association, where he is an active participant in the Securities Law Committee.

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