

# FREE 50-MINUTE ONLINE SEMINAR

Be our guest at this educational presentation using the Internet and your phone. Illinois registered public accountants can receive 1 CPE credit.

WEBINAR EVENT - JANUARY 2007

## The Evolution of Fiduciary Liability for Investment Losses: ERISA Plans, Non-Profits and Institutions

Please join us on January 31st for an informative discussion on:

- Current trends and developments in the law;
- How fiduciaries can reduce their risk exposure to liability for investment losses; and,
- How breaches of fiduciary duty can be pursued in arbitration vs. litigation for investment losses.

ENROLL at

<http://snsfe-law.webex.com>

SPACE IS LIMITED

Once registered, you'll receive instructions via email on how to attend the event.

**ATTENDING EVENT:** To hear the audio portion of this presentation you must call 800.203.4167 (toll-free) with your passcode. For technical help with the audio portion dial \*0 after joining the call. To reach WebEx technical support, call: 866-779-3239.

Illinois registered public accountant candidates for CPE credit will receive proof of attendance via email upon completion of the live event.

Date: January 31, 2007

Time: 11:00 A.M. CENTRAL

Presented By:

April J. Lindauer, J.D.

**April Lindauer** is an associate attorney with SNSFE practicing in securities arbitration and litigation, securities regulation and compliance and employment litigation.

She is experienced in handling matters involving complaints against the financial services industry, such as investment suitability, fraud, breach of fiduciary duty, churning, mutual fund switching, net capital violations, and unauthorized transactions.

April also provides counsel in regulatory, compliance and disciplinary matters to securities industry professionals.

This past year she has written a well received article on variable and equity indexed annuities and was a presenter in an SNSFE webinar on investment product suitability.

Prior to joining SNSFE, April interned for the NASD Enforcement Division in Chicago assisting a staff of attorneys in regulatory proceedings involving securities fraud, suitability, excessive trading, churning, mutual fund switching, net capital violations, outside business activities and arbitration.

April is a graduate of DePaul College of Law and earned a Bachelor of Arts in Psychology from the University of Chicago. She is a member of the Illinois State Bar and is admitted to practice law before the United States District Court for the Northern District of Illinois.

#### SPONSORED BY:

Shaheen, Novoselsky, Staat, Filipowski & Eccleston, P.C.  
20 North Wacker Drive, Suite 2900, Chicago, Illinois 60606  
Tel 312.621.4400 Fax 312.621.0268  
[www.SNSFE-law.com](http://www.SNSFE-law.com)  
[www.FinancialCounsel.com](http://www.FinancialCounsel.com)

**SNSFE**  
ATTORNEYS AT LAW