

WEBINAR EVENT - FEBRUARY 2009

FINANCIAL ADVISOR LIABILITY AN OVERVIEW

Complimentary 30-Minute Online Seminar
for Investors and Financial Services Providers

Tuesday — February 24, 2009 — 11:30 AM CENTRAL

Attorney Ron Amato will cover the basics of investor protection against investment fraud, sales practice abuses, and negligence/mismanagement by unethical or incompetent investment professionals.

Best business practices for financial services providers are reinforced in this refresher program that helps detect and address these types of wrongdoings.

Space is limited.

Register now.

<http://snsfe-law.webex.com>



RONALD M. AMATO is an associate attorney in the securities practice group. He has extensive experience representing investors, registered representatives, investment advisers, and securities broker-dealers in securities arbitration and litigation matters involving investment-related and securities disputes. He frequently arbitrates and mediates through FINRA's (Financial Industry Regulatory Authority) Dispute Resolution program, as well as arbitrates and litigates in other forums, including JAMS and AAA, as well as in state and federal courts. He received his JD from Chicago-Kent College of Law. Prior to attending law school, Ron was a registered representative and assistant branch office manager with a national broker-dealer and New York Stock Exchange member firm where he held various securities licenses, including Series 7 and Series 63 with FINRA (formerly NASD). Read his full bio at SNSFE-law.com.

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